

# Thesis Restricted Access Application Form – Patent pending / commercial information

## Criteria

Your thesis contains commercially sensitive information which could prejudice the commercial interests of an individual or organization and/or is/will be subject to a patent application. If the thesis abstract contains potentially prejudicial information, you may upload a redacted version, or indicate that the abstract should not be made public at all (if in doubt, please discuss with your supervisor)

**Max Embargo Period:** 4 years

**Extension Permitted:** YES, with approval from PGR Lead

**Authorisation:** PGR Lead to complete declaration in Section 2

**Evidence Required:** Brief description of the intellectual property contained in the thesis which is subject to commercialisation; what activity (for example patent application) is taking place to pursue commercialisation

***You can download further guidance on completing this form [here](#)***

## Section 1 – General information and student declaration

**Surname (Family Name):**

**Forename(s):**

**Title (Dr, Ms, Mrs, Mx, Mr etc.):**

**Student ID Number:**

**College:**

**School/Department/Institute:**

**Degree (e.g. PhD):**

**Date of entry into this programme of study:**

**University email address:**

**Personal / other active email address\*:**

**Expected month and year of degree conferment:**

**(usually July or December)**

**Supervisor name(s):**

**Funder(s) acknowledged in thesis:**

*\*Please provide an email address that will be checked regularly and we can use to contact you about your thesis/embargo after you have graduated*

By signing this form I confirm that:

1. It is necessary that my thesis is embargoed and it meets the criteria laid out in Section 2
2. I have fully completed section 1 and section 2 of this form and obtained the required authorisation
3. My request is compliant with the requirements of all funders acknowledged in my thesis
4. I understand that Library Services will calculate the embargo end date based on the last day of the month of my degree congregation plus the embargo duration I have specified in Section 2
5. I understand that unless I have indicated copy requests can be approved by Library Services:
  - a. While under embargo the thesis will not be shared with anyone (including my supervisor) without the express permission of myself (or a person I have optionally nominated under Section 2)
  - b. Library Services will contact me and/or a person I have nominated to consider any requests for access to my thesis. If I do not respond within 28 working days, such requests will be denied.
6. I have provided an email address that will remain active and be checked regularly following my graduation.
7. I understand that my thesis will become publicly accessible via the eTheses repository on the day following the embargo end date unless I have made a successful extension request at least 28 days prior to the embargo end date.
8. I understand that to facilitate its deposit and preservation in accordance with University regulations, a limited number of Library Services staff will have access to my thesis irrespective of any embargo imposed.
9. The personal information I have provided in this form will be held by the University for the duration of the embargo, plus 1 year.
10. I understand that I can request the early termination of an embargo should circumstances change. To do so, please contact [ubira@contacts.bham.ac.uk](mailto:ubira@contacts.bham.ac.uk)

**Signed (type or upload)**

**Date**

## SECTION 2: Embargo detail and authorisation

### Embargo duration

Years (No more than 4 years from graduation)

**Copy request approval (choose one option and complete nominee details if selecting that option):**

**Nominee Name:**

**Nominee email address:**

**Can the full text of your thesis abstract be made publicly available?**

*\*If you responded 'Only in redacted form' please upload a redacted version of your thesis abstract, suitable for public access, when you deposit your thesis to the etheses repository*

**Detail supporting evidence below:**

---

### To be completed by your PGR Lead

I confirm that there is an intention to commercialise an element of this thesis and this activity could be jeopardised if the thesis is publicly available.

**PGR Lead name:**

**Signed (type or upload):**

**Date:**

---

**ONCE THIS FORM HAS BEEN COMPLETED AND APPROVED, IT SHOULD BE UPLOADED TO E-THESIS ALONG WITH YOUR THESIS DEPOSIT.**